Decision Tree for Fundamental Research Exclusion

Is the sponsor a foreign entity or person?  
Yes  
No  
Will the research be performed in the United States?  
Yes  
No  
Is there a solicitation (e.g., RFP, Program Announcement) or contract?  
Yes  
No  
Is there a proposed contract?  
Yes  
No  
Will unpublished and/or proprietary information be transferred to or from the university?  
Yes  
No  
Is there an NDA in place with this sponsor (i.e., will proprietary information be transferred to or from the university)?  
Yes  
No  
Is there a NDA in place between the parties that addresses this specific exchange?  
Yes  
No  
Will the sponsor remove the clause or term?  
Yes  
No  
Submit for denied party and denied entity screening and further processing.

Is this project basic or applied research that will be conducted in the U.S. so that the results will be published?  
Yes  
No  
Does the solicitation or proposed contract include:  
- Publication restriction  
- Foreign nationals restriction  
- Identification as ITAR controlled technology

Further export review required.

Does the project involve the transfer of proprietary information or equipment to a foreign national or entity (including student)?  
Yes  
No

Does this project involve encryption software, military technology (equipment or technology), space technology, or items or technology that can be modified for military or space or does it involve bio-agents, or chemical toxins?  
Yes  
No

Propose standard NDA.

Review export control language in NDA. Is there a restrictive export clause or restriction on access by foreign nationals?  
Yes  
No

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